DFT+EMBEDDED DYNAMICAL MEAN FIELD THEORY FROM FUNCTIONAL PERSPECTIVE













Latest developments



- I. Projectors and locality of correlations
- 2. Exact double-counting of DFT+EDMFTF : PRL 115, 196403 (2015).
- 3. Stationary free energy functional (within Embedded DMFT approach) for structural optimization, PRLII5, 256402 (2015).
- 4. Implementation of Forces within E-DMFT functional for optimization of internal structural parameter, arXiv:1602.02819.
- 5. Some details on the structure of the code.





 $\begin{array}{l} \text{Luttinger-Ward functional (1950):} \\ \Gamma[\{G\}] \stackrel{\Sigma}{=} \stackrel{\Gamma^{-1}}{=} \stackrel{\Gamma^{-1}}{\to} \stackrel{\Gamma^{-1}$



(3)

$$\frac{\partial \Gamma[G]}{\partial G} = \frac{1}{G} - \Sigma - G \frac{\partial \Sigma}{\partial G} + \frac{\partial \Psi}{\partial G}$$
(4)
Density Functional Torregination of the Gradient Structure of Structure of the Gradient Structure of Structure of the Gradient Structure of S

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Functional Point of view

DMFT for lattice models: $\Phi[\{G_{ij}\}] \rightarrow \sum_{i} \Phi[\{G_{ii}\}]$ *i* is site or cluster



DMFT for continuous problems: $\Phi[\{G\}] \to \sum_{\mathbf{R}_i} \Phi[\{\hat{P}_{\mathbf{R}_i}G\}]$

Need to define projector to site (or cluster): $\hat{P}_{\mathbf{R}_i}$ DMFT is projector dependent approximation

How is correlation potential determined?



In DMFT we want to lift the restriction and compute all correlations local to a given site (not given point in space).



The continuos DMFT problem

DMFT approximation: $\Phi[\{G\}] \rightarrow \sum_{\mathbf{R}_i} \Phi[\{\hat{P}_{\mathbf{R}_i}G\}]$ in continuum requires **discretization** of projector,

$$\hat{P}_{\mathbf{R}_i} \to P_{\mathbf{R}_i}(\alpha\beta) = \int d\mathbf{r} d\mathbf{r}' \mathcal{P}_{\mathbf{R}_i}(\alpha\beta;\mathbf{rr'})$$

for example:
$$P_{\mathbf{R}_i}(\alpha\beta) = \int d\mathbf{r} d\mathbf{r}' \phi_\alpha(\mathbf{r} - \mathbf{R}_i) \phi_\beta^*(\mathbf{r}' - \mathbf{R}_i)$$

where $\phi_{\alpha}(\mathbf{r} - \mathbf{R}_i) \equiv \langle \mathbf{r} | \phi_{\alpha}^i \rangle$ forms a basis on a given atom so that:

$$G_{local}^{i}(\mathbf{r},\mathbf{r}') = \sum_{\alpha\beta} \langle \mathbf{r} | \phi_{\alpha}^{i} \rangle \langle \phi_{\alpha}^{i} | G | \phi_{\beta}^{i} \rangle \langle \phi_{\beta}^{i} | \mathbf{r}' \rangle$$

$$quasi \ atomic \ orbitals$$

$$(locally \ complete \ set)$$

$$\alpha,\beta \ are \ orbital-spin \ indices$$

HOW LOCAL ARE CORRELATIONS?

Correlations are local in large d (large connectivity z) where DMFT is exact -- Weiss mean field theory



What about finite D? What about 0?

 H_2 molecule:



How local are correlations ? 0-D test of the single site DMFT.



Juho Lee, KH, PRB 91, 155144 (2015).

Error of total energy using LDA+DMFT <0.2%!



$$\Gamma[G] = Tr \log G - \text{Tr}((G_0^{-1} - G^{-1})G) + E_{Vc}^{H+XC}[\rho] + \Phi_{V_{DMFT}}^{DMFT}[G_{local}] - \Phi_{V_{DMFT}}^{H+XC}[\rho_{local}]$$

$$\mathbf{G}_0^{-1} = [i\omega_n + \mu + \nabla^2 - V_{nuc}(\mathbf{r})]\delta(\mathbf{r} - \mathbf{r}')$$

$$\mathbf{non-interacting part of G}$$

$$\mathbf{Hartree + XC}_{functional}$$

$$\mathbf{G}_0^{-1} = [i\omega_n + \mu + \nabla^2 - V_{nuc}(\mathbf{r})]\delta(\mathbf{r} - \mathbf{r}')$$

$$\mathbf{Hartree + XC}_{functional}$$

 Γ [G] Is stationary and gives free energy of the system.

$$\begin{aligned} \frac{\delta\Gamma[G]}{\delta G} &= 0 \quad \text{because} \quad G^{-1} - G_0^{-1} + V_{H+XC}\delta(\mathbf{r} - \mathbf{r}')\delta(\tau - \tau') + \\ &+ \hat{P}\frac{\delta\Phi^{DMFT}[G_{local}]}{\delta G_{local}} - \hat{P}\frac{\delta\Phi^{DC}[\rho_{local}]}{\delta\rho_{local}}\delta(\mathbf{r} - \mathbf{r}')\delta(\tau - \tau') = 0 \end{aligned}$$

Stationarity : 1st order error in G leads to 2nd order error in free energy.

Note: Migdal-Galitskii formula gives non-stationary total energies in DFT+DMFT.

Embedded Dynamical Mean Field Theory Functional $\Gamma[G] = Tr \log G - \operatorname{Tr}((G_0^{-1} - G^{-1})G) + E_{\mathsf{V}_{\mathcal{C}}}^{H+XC}[\rho] + \Phi_{\mathsf{V}_{\mathsf{DMFT}}}^{DMFT}[G_{local}] - \Phi_{\mathsf{V}_{\mathsf{DMFT}}}^{H+XC}[\rho_{local}]$ We extremize a DFT-DMFT functional in real space: no need to build tight-binding model Hamiltonian (Wannier orbitals). uniform positive LDA background To determine e-e Solid with 10²³ + correlation potential, electrons electron cloud each point in space is mapped to the uniform Auxiliary problem is a electron gas problem. non-interacting problem in self-consistent medium To determine e-e E-DMF1 correlation potential, electron cloud +each atom is mapped to interacting ion auxiliary quantum impurity

Auxiliary problem is a "interacting atom" in a self-consistent medium/entanglement

Note: The trick of mapping is used only to determine the exchange-correlation potential, while the *kinetic energy* and *Hartree* term are always treated exactly.

problem

Quantum impurity model solved by Monte Carlo

Embedded Dynamical Mean Field Theory Functional

Discretized problem can be handled in similar way as a lattice models. Extremization:

$$\frac{\delta}{\delta G}\Gamma[\{G\}] = \frac{\delta}{\delta G}(\operatorname{Tr}\log G - \operatorname{Tr}((G_0^{-1} - G^{-1})G)) + \frac{\delta E_{V_c}^{H+XC}[\rho]}{\delta G} + \frac{\delta \sum_{\mathbf{R}_i} \Phi_{V_{DMFT}}[\{\int d\mathbf{r} d\mathbf{r}' \phi_{\alpha}(\mathbf{r} - \mathbf{R}_i)G(\mathbf{r}\mathbf{r}')\phi_{\beta}^*(\mathbf{r}' - \mathbf{R}_i)\}]}{\delta G} - \frac{\delta \sum_{\mathbf{R}_i} \Phi_{V_{DMFT}}^{H+XC}[\{\int d\mathbf{r} d\mathbf{r}' \phi_{\alpha}(\mathbf{r} - \mathbf{R}_i)\rho(\mathbf{r}\mathbf{r}')\phi_{\beta}^*(\mathbf{r}' - \mathbf{R}_i)\}]}{\delta G}$$

$$\frac{\delta}{\delta G} \Gamma[\{G\}] = G^{-1} - G_0^{-1} + \frac{\delta E_{V_c}^{H+XC}[\rho]}{\delta \rho} \delta(\mathbf{r} - \mathbf{r}') \delta(\tau - \tau') \qquad \left(\rho(\mathbf{r}) = \delta(\mathbf{r} - \mathbf{r}')\delta(\tau - \tau')G(\mathbf{r}\tau, \mathbf{r}'\tau')\right) \\
+ \sum_{\alpha\beta} \frac{\delta \Phi_{V_{DMFT}}[\{G_{local}^{i}\}]}{\delta G_{local\beta\alpha}^{i}} \phi_{\alpha}(\mathbf{r} - \mathbf{R}_{i})\phi_{\beta}^{*}(\mathbf{r}' - \mathbf{R}_{i}) \\
- \sum_{\alpha\beta} \frac{\delta \Phi_{V_{DMFT}}^{H+XC}[\{\rho_{local}\}]}{\delta \rho_{local\beta\alpha}} \phi_{\alpha}(\mathbf{r} - \mathbf{R}_{i})\delta(\tau - \tau')\phi_{\beta}^{*}(\mathbf{r}' - \mathbf{R}_{i})\}] = \mathbf{0}$$

finally

$$G^{-1} = G_0^{-1} - V_{H+XC}(\mathbf{r})\delta(\mathbf{r} - \mathbf{r}')\delta(\tau - \tau') - \langle \mathbf{r} | \phi_{\alpha}^i \rangle \, (\Sigma^{imp} - V^{DC})_{\alpha\beta} \, \langle \phi_{\beta}^i | \mathbf{r}' \rangle$$

where
$$\Sigma_{\alpha\beta}^{imp} = \frac{\delta\Phi_{V_{DMFT}}[\{G_{local}^{i}\}]}{\delta G_{local\beta\alpha}^{i}}$$
 and $V_{\alpha\beta}^{DC} = \frac{\delta\Phi_{V_{DMFT}}^{H+XC}[\{\rho_{local}\}]}{\delta\rho_{local\beta\alpha}}\delta(\tau - \tau')$

Embedded Dynamical Mean Field Theory Functional

$$G^{-1} = G_0^{-1} - \underbrace{V_{H+XC}(\mathbf{r})\delta(\mathbf{r} - \mathbf{r}')\delta(\tau - \tau')}_{DFT} - \underbrace{\langle \mathbf{r} | \phi_{\alpha}^i \rangle (\Sigma^{imp} - V^{DC})_{\alpha\beta} \langle \phi_{\beta}^i | \mathbf{r}' \rangle}_{quantum impurity S. embedded}$$
where $\Sigma_{\alpha\beta}^{imp} = \frac{\delta \Phi_{V_{DMFT}}[\{G_{local}^i\}]}{\delta G_{local\beta\alpha}^i}$ and $V_{\alpha\beta}^{DC} = \frac{\delta \Phi_{V_{DMFT}}^{H+XC}[\{\rho_{local}\}]}{\delta \rho_{local\beta\alpha}}\delta(\tau - \tau')$

On each correlated site \mathbf{R}_i we have to solve a $\Sigma_{\alpha\beta}^{imp} = \frac{\delta \Phi_{V_{DMFT}}[\{G_{local}^i\}]}{\delta G_{local\beta\alpha}^i}$ quantum impurity model with $\alpha\beta$ orbitals

And **Embed** self-energies to continuum space by

 $\langle \mathbf{r} | \phi^i_{lpha}
angle \Sigma^{imp}_{lphaeta} \langle \phi^i_{eta} | \mathbf{r'}
angle$

Notice that once the projector is defined, embedding is uniquely given by the same matrix elements of $\mathcal{P}_{\mathbf{R}_i}(\alpha\beta;\mathbf{rr'}) = \phi_{\alpha}(\mathbf{r}-\mathbf{R}_i)\phi^*_{\beta}(\mathbf{r'}-\mathbf{R}_i)$



REQUIREMENT FOR STATIONARITY

Projector should not depend on the solution

Return to definition of the projector:

$$G_{local,\mathbf{R}_{i},\alpha\beta} = \int_{\mathbf{rr}'} \mathcal{P}_{\mathbf{R}_{i}}(\alpha\beta;\mathbf{rr}')G(\mathbf{rr}')$$

Return to saddle point Eq.: $\frac{\delta \Phi^{DMFT}[\{G_{local,\mathbf{R}_{i}}\}]}{\delta G(\mathbf{rr'})} = \sum_{\alpha\beta} \left(\frac{\delta G_{local,\mathbf{R}_{i},\alpha\beta}}{\delta G(\mathbf{rr'})} \right) \frac{\delta \Phi[\{G_{local,\mathbf{R}_{i}},\alpha\beta}]}{\delta G_{local,\mathbf{R}_{i},\alpha\beta}} = \sum_{\alpha\beta} \mathcal{P}_{\mathbf{R}_{i}}(\alpha\beta;\mathbf{rr'}) \right) \frac{\delta \Phi[\{G_{local,\mathbf{R}_{i}}\}}{\delta G_{local,\mathbf{R}_{i},\alpha\beta}} = \sum_{\alpha\beta} \mathcal{P}_{\mathbf{R}_{i}}(\alpha\beta;\mathbf{rr'}) + \sum_{\alpha\beta} \mathcal{P}_{\mathbf{R}_{i}}(\alpha\beta$ $\delta\Phi[\{G_{local,\mathbf{R}_i,\alpha\beta}\}]$ $\overline{\delta G}_{local,\mathbf{R}_i,\alpha\beta}$ $\frac{\delta P}{\delta G} = 0 \quad \text{or} \quad \frac{\delta \phi_{\alpha}(\mathbf{r} - \mathbf{R}_{i})}{\delta \alpha} = 0$ Here we implicitly assumed that otherwise more complicated terms would appear... Requirement: $\frac{\delta P}{\delta G} = 0$ is not satisfied with Wannier functions because they essentially depend on the charge, and hence on G.



Some part of exchange/correlations counted in both approximations.

Double-Counting



$$V_{DMFT}(\mathbf{r} - \mathbf{r}') = \frac{e^{-\lambda|\mathbf{r} - \mathbf{r}'|}}{|\mathbf{r} - \mathbf{r}'|} \qquad \Phi[G_{local,\mathbf{R}_i}] \equiv \frac{1}{2} + \frac{1}{$$

example of screened Coulomb repulsion

Double-Counting of Hartree

Exact Hartree:
$$E_{V_C}^{H}[\rho] = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V_C(|\mathbf{r} - \mathbf{r}'|)\rho(\mathbf{r})\rho(\mathbf{r}')$$

LDA approximation
Exact Hartree Hartree term included
 $E_{V_C}^{H}[\rho] = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V_C(|\mathbf{r} - \mathbf{r}'|)\rho(\mathbf{r})\rho(\mathbf{r}')$

$$E_{DMFT}^{H}[\rho] = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' (\hat{P}\rho(\mathbf{r})) (\hat{P}\rho(\mathbf{r}')) V_{DMFT}(\mathbf{r} - \mathbf{r}')$$

This approximation for Hartree term appears in Φ^{DMFT}

Double counting to subtract is the DMFT approximation for the Hartree term: $\Phi_{DMFT}^{DC,Hartree}[\{\rho\}] = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' (\hat{P}\rho(\mathbf{r})) (\hat{P}\rho(\mathbf{r}')) V_{DMFT}(\mathbf{r} - \mathbf{r}')$

Double-Counting of Exchange



Double-Counting of Exchange



Intersection of both approximations: apply **both approximations** to the functional



Double-Counting of Correlations



Stationary Free Energy Functional



 $\delta\Gamma[G]/\delta G = 0$

For numeric stability, the stationary DFT+DMFT functional is needed but the implementation is challenging.

$$\Gamma[G] = \operatorname{Tr} \log G - \operatorname{Tr}[(G_0^{-1} - G^{-1})G] + E^H[\rho] + E^{xc}[\rho] + \Phi^{\mathrm{DMFT}}[\hat{P}G] - \Phi^{\mathrm{DC}}[\hat{P}\rho] + E_{\mathrm{nuc-nuc}}$$
Can be computed from frequency

Can be computed from frequency dependent band structure $\mathcal{E}_{\mathbf{k}}\omega_{n}, i$

Very hard to compute

Idea : Use the free energy *F_{imp}* of the auxiliary impurity problem:

 $F_{imp} = \operatorname{Tr} \log G_{imp} - \operatorname{Tr}(\Sigma_{imp}G_{imp}) + \Phi[G_{imp}] \quad \text{and} \quad \Phi[G_{imp}] = \Phi[\hat{P}G]$

$$F_{solid} = F_{imp} + \operatorname{Tr}\log G - \operatorname{Tr}\log G_{imp} + E^H + E^{XC} + E_{nuc-nuc} - \Phi^{DC}[\{\hat{P}\rho\}]$$

For the impurity problem we can compute with CTQMC very precisely all necessary quantities

$$F_{imp} = \operatorname{Tr}((\Delta + \varepsilon_{imp} - \omega_n \frac{d\Delta}{d\omega_n})G_{imp}) + E_{imp}^{potential} - T S_{imp}$$
$$S_{imp}(T) = S_{imp}(T_{>}) - \frac{E_{imp}(T_{>})}{T_{>}} + \frac{E_{imp}(T)}{T} - \int_{1/T_{>}}^{1/T} E_{imp}(1/\beta)d\beta$$

Details of the implementation in supplementary of PRL115, 256402 (2015).

paramagnetic Mott insulator FeO



Example SrVO₃





Haule & Birol, PRL115, 256402 (2015).

Isostructural transition in elemental Cerium



First order (entropy driven) transition

Embedded Dynamical Mean Field

Stationary Embedded DMFT functional extremized in real space.

Free energy functional can be analytically differentiated to give forces on all atoms.

Can predict complex crystal structures







The Hellman-Feynman force

We need to differentiate the implemented expression for the free energy.

Free energy expression again

Recall:

$$\Gamma[\{G\}] = \operatorname{Tr}\log G - \operatorname{Tr}((G_0^{-1} - G^{-1})G) + E_{V_C}^{H+XC}[\rho] + \sum_{\mathbf{R}_i \in corr} \Phi_{V_{DMFT}}^{DMFT}[G_{loc}^i] - \Phi_{V_{DMFT}}^{DC}[\rho_{loc}^i]$$

at the DMFT solution the Dyson Eq. is satisfied

$$G^{-1} = G_0^{-1} - V_{H+XC}(\mathbf{r})\delta(\mathbf{r} - \mathbf{r}')\delta(\tau - \tau') - \langle \mathbf{r} | \phi_{\alpha}^i \rangle \, (\Sigma^{imp} - V^{DC})_{\alpha\beta} \, \langle \phi_{\beta}^i | \mathbf{r}' \rangle$$

hence the free can be computed by

$$F = \operatorname{Tr}\log(G) - \operatorname{Tr}(V_{H+XC})\rho) + E^{H+XC}[\rho] + \sum_{\mathbf{R}_i} \left\{ -\operatorname{Tr}((\Sigma^{imp} - V^{DC})G^i_{loc}) + \Phi^{DMFT}[G^i_{loc}] - \Phi^{DC}[\rho^i_{loc}] \right\}$$

nuclear-nuclear energy is added; canonical ensemble needs +µ N

Free energy expression implementation

To implement $\operatorname{Tr} \log G$ we compute generalized Kohn-Sham orbitals:

$$\left\langle \psi_{j\mathbf{k}\omega_{n}} \right| \left(T + V_{nuc} + V_{H+XC} + \sum_{mm',\mathbf{R}_{\mu}} \left| \phi_{m}^{\mu} \right\rangle \left\langle \phi_{m}^{\mu} | \Sigma_{i\omega_{n}} - V_{DC} | \phi_{m'}^{\mu} \right\rangle \left\langle \phi_{m'}^{\mu} | \right) \left| \psi_{i\mathbf{k}\omega_{n}} \right\rangle = \delta_{ij} \varepsilon_{\mathbf{k}\omega_{n},i}$$

so that
$$\langle \psi_{j\mathbf{k}\omega_n}|G|\psi_{i\mathbf{k}\omega_n}
angle = rac{\delta_{ij}}{i\omega_n + \mu - arepsilon_{\mathbf{k}\omega_n,i}}$$
 ,i.e., G is diagonalized

then

$$Tr \log(-G) = -Tr \log(-i\omega_n - \mu + \varepsilon_{\mathbf{k}\omega_n})$$
and

$$F = -\operatorname{Tr}\log(-i\omega_n - \mu + \varepsilon_{\mathbf{k}\omega_n}) - \operatorname{Tr}(V_{H+XC})\rho) + E^{H+XC}[\rho] + E_{nuc-nuc} + \mu N$$
$$+ \sum_{\mathbf{R}_i} \left\{ -\operatorname{Tr}((\Sigma - V_{DC})G^i_{loc}) + \Phi^{DMFT}[G^i_{loc}] - \Phi^{DC}[\rho^i_{loc}] \right\}$$

Derivative of the free energy

The free energy :

$$\begin{split} F &= -\mathrm{Tr}\log(-i\omega_n - \mu + \varepsilon_{\mathbf{k}\omega_n}) - \mathrm{Tr}(V_{H+XC})\rho) + E^{H+XC}[\rho] + E_{nuc-nuc} + \mu N \\ &+ \sum_{\mathbf{R}_i} \left\{ -\mathrm{Tr}((\Sigma - V_{DC})G^i_{lqc}) + \Phi^{DMFT}[G^i_{loc}] - \Phi^{DC}[\rho^i_{lqc}] \right\} \\ \frac{\delta F}{\delta \mathbf{R}_{\mu}} &= \mathrm{Tr}\left(\frac{1}{i\omega + \mu - \varepsilon_{\mathbf{k}\omega_n}} \frac{\delta(\varepsilon_{\mathbf{k}\omega_n} - \mu)}{\delta \mathbf{R}_{\mu}}\right) - \mathrm{Tr}(\rho \frac{\delta V_{H+XC}}{\delta \mathbf{R}_{\mu}}) + \frac{\delta E_{nuc-nuc}}{\delta \mathbf{R}_{\mu}} + N \frac{\delta \mu}{\delta \mathbf{R}_{\mu}} \\ &- \mathrm{Tr}\left(G_{loc} \frac{\delta \Sigma - \delta V_{DC}}{\delta \mathbf{R}_{\mu}}\right) \\ \frac{\delta F}{\delta \mathbf{R}_{\mu}} &= \mathrm{Tr}\left(\frac{1}{i\omega + \mu - \varepsilon_{\mathbf{k}\omega_n}} \frac{\delta(\varepsilon_{\mathbf{k}\omega_n})}{\delta \mathbf{R}_{\mu}}\right) - \mathrm{Tr}(\rho \frac{\delta(V_{KS})}{\delta \mathbf{R}_{\mu}}) \\ &- \mathrm{Tr}\left(G_{loc} \frac{\delta \Sigma - \delta V_{DC}}{\delta \mathbf{R}_{\mu}}\right) \\ &- \mathrm{Tr}\left(G_{loc} \frac{\delta \Sigma - \delta V_{DC}}{\delta \mathbf{R}_{\mu}}\right) \\ &- \mathrm{Recall:} \quad \mathbf{F}^{HF} = -\mathrm{Tr}(\rho \frac{\partial V_{nuc}}{\partial \mathbf{R}_{\mu}}) - \frac{\partial E_{nuc}}{\partial \mathbf{R}_{\mu}} \end{split}$$

Derivative of the free energy



But: Functional is stationary, and $~\delta\Sigma(\omega)~$ cancel out.

KH, arXiv:1602.02819.

Derivative of the free energy

To prove that $\delta(\Sigma - V_{DC})$ cancels, we recall eigenvalue Eq. $\left\langle \psi_{i\mathbf{k}\omega_{n}} \right| \left(T + V_{nuc} + V_{H+XC} + \sum_{mm',\mathbf{R}_{\mu}} \left| \phi_{m}^{\mu} \right\rangle \left\langle \phi_{m}^{\mu} \left| \Sigma_{i\omega_{n}} - V_{DC} \left| \phi_{m'}^{\mu} \right\rangle \left\langle \phi_{m'}^{i} \right| \right) - \varepsilon_{\mathbf{k}\omega_{n},i} \left| \psi_{i\mathbf{k}\omega_{n}} \right\rangle = 0$ which is satisfied for each atomic position $\, {f R}_{\mu} \,$ hence $\left\langle \psi_{i\mathbf{k}\omega_{n}} \right| \left(\delta(T + V_{nuc} + V_{H+XC}) + \sum_{mm',\mathbf{R}_{\mu}} \delta(|\phi_{m}^{\mu}\rangle \langle \phi_{m}^{\mu}|\Sigma_{i\omega_{n}} - V_{DC}|\phi_{m'}^{\mu}\rangle \langle \phi_{m'}^{i}|) \right) - \delta\varepsilon_{\mathbf{k}\omega_{n},i} |\psi_{i\mathbf{k}\omega_{n}}\rangle = 0$ therefore $\delta \varepsilon_{\mathbf{k}\omega_n,i} = \sum_{\mathbf{k}} \langle \psi_{i\mathbf{k}\omega_n} | \phi_m^{\mu} \rangle \langle \phi_m^{\mu} | \delta(\Sigma - V_{DC}) | \phi_{m'}^{\mu} \rangle \langle \phi_{m'}^{\mu} | \psi_{i\mathbf{k}\omega_n} \rangle + \dots$ \mathbf{R}_{μ},mm'+derivative of the projector+DFT terms

hence

$$\operatorname{Tr}\left(\frac{\delta\varepsilon_{\mathbf{k}\omega_{n}}}{i\omega+\mu-\varepsilon_{\mathbf{k}\omega_{n}}}\right) = \operatorname{Tr}\left(|\psi_{i\mathbf{k}\omega_{n}}\rangle\frac{1}{i\omega+\mu-\varepsilon_{\mathbf{k}\omega_{n}}}\langle\psi_{i\mathbf{k}\omega_{n}}|\sum_{\mathbf{R}_{\mu},mm'}|\phi_{m}^{\mu}\rangle\langle\phi_{m}^{\mu}|\delta(\Sigma-V_{DC})|\phi_{m'}^{\mu}\rangle\langle\phi_{m'}^{\mu}|\right) + \dots \\ = \operatorname{Tr}\left(G\sum_{\mathbf{R}_{\mu},mm'}|\phi_{m}^{\mu}\rangle\langle\phi_{m}^{\mu}|\delta(\Sigma-V_{DC})|\phi_{m'}^{\mu}\rangle\langle\phi_{m'}^{\mu}|\right) + \dots \\ \mathbf{F}_{\mu}^{Puly} = -\operatorname{Tr}\left(\frac{1}{i\omega_{n}+\mu-\varepsilon_{\mathbf{k}\omega_{n}}}\frac{\delta\varepsilon_{\mathbf{k}\omega_{n}}}{d\mathbf{R}_{\mu}}\right) + \operatorname{Tr}\left(\rho\frac{\delta V_{KS}}{\delta\mathbf{R}_{\mu}}\right) + \operatorname{Tr}\left(G_{loc}\frac{\delta\Sigma-\delta V_{DC}}{\delta\mathbf{R}_{\mu}}\right) = \operatorname{Tr}\left(G_{loc}\delta(\Sigma-V_{DC})|\phi_{m'}^{\mu}\rangle\langle\phi_{m'}^{\mu}|\right) + \dots$$

Final results for forces in a mixed basis set

Pulay force in mixed basis:

DFT-like terms

$$\mathbf{F}_{\mu}^{Puly} = -\mathrm{Tr}\left(\widetilde{\rho}A^{0\dagger}\frac{\delta H^{0}}{\delta\mathbf{R}_{\mu}}A^{0} - \widetilde{(\rho\varepsilon)}A^{0\dagger}\frac{\delta O}{\delta\mathbf{R}_{\mu}}A^{0}\right) + \mathrm{Tr}\left(\rho\frac{\delta V_{KS}}{\delta\mathbf{R}_{\mu}}\right)$$

$$\frac{1}{\beta} \sum_{i\omega_n} \sum_{\mathbf{K}\mathbf{K}',m'm} \bar{G}_{\mathbf{K}\mathbf{K}'} (\Sigma - V_{DC})_{m'm} \frac{\delta\left(\langle \chi_{\mathbf{K}'} | \phi_{m'} \rangle \langle \phi_m | \chi_{\mathbf{K}} \rangle\right)}{\delta \mathbf{R}_{\mu}}$$

derivative of the DMFT projector, because the DMF basis moves with the atom

depends on the DMFT density matrices

$$\widetilde{\rho} \equiv \frac{1}{\beta} \sum_{i\omega_n} B^R_{\omega_n} \frac{1}{i\omega_n + \mu - \varepsilon_{\mathbf{k}\omega_n}} B^L_{\omega_n}$$
$$\widetilde{(\rho\varepsilon)} \equiv \frac{1}{\beta} \sum_{i\omega_n} B^R_{\omega_n} \frac{\varepsilon_{\mathbf{k}\omega_n}}{i\omega_n + \mu - \varepsilon_{\mathbf{k}\omega_n}} B^L_{\omega_n}$$

Success: Forces do not depend on $\Phi[G]$ or $\delta\Sigma/\delta G = \delta^2\Phi/\delta G^2$

which are hard to compute.

KH, arXiv:1602.02819.

Forces more stable than free energies MC noise at constant statistics free energy noise ~1meV -20 -40force noise $\sim 0.2 \text{ meV/a.u.}$ energy[meV] -60 -80 Forces very stable! -100-120 Free En.[meV] Force[meV/a.u.] $\Phi[G]$ hard to compute -140Force*∆r [meV] (needed for free energy)

5

0

10

15

iteration #

20

25

30

 $\Sigma = \delta \Phi[G]/\delta G \quad \mbox{easy to compute} \\ \mbox{only this is needed for the force} \end{cases}$

 $\delta^2 \Phi[G] / \delta G^2$

hard to compute (needed for dynamical matrix)

Optimizing FeSe structure - Hunds metal





0.60

0.65

0.70

0.75

0.80

 $J_H[eV]$

0.85

0.90

0.95

1.00

exp(b): R. S. Kumar, ... C. Chen, The Journal of Physical Chemistry B 114, 12597 (2010).



structure package: EDMFTF



ttp://hauleweb.rutgers.edu/tutorials

Some tutorials:

DMFT W2K Tutorials and Installation Instructions

- Installation
- Overview
- Tutorial on single band Hubbard model
- Tutorial 1 on SrVO₃
- Tutorial 2 on LaVO3
- Tutorial 3 on elemental Cerium
- Tutorial 4 on Sr₂IrO₄
- Projection & Embedding instead of downloading in the full potential (APW+lo,LAPW) basis.
- Continuous time quantum Monte Carlo, OCA, NCA...
- Stationary implementation of free energy
- Forces on all atoms

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DFT PART init_lapw : $\rho^{atom}(\mathbf{r})$ run_lapw == $\times \text{lapw0} : V_{ext}(\mathbf{r}) \ \rho(\mathbf{r}) \rightarrow V_{KS}(\mathbf{r})$ × mixer: $\rho^{val} + \rho^{core}, \rho^{old}(\mathbf{r}) \rightarrow \rho^{new}(\mathbf{r})$ × apw : $V_{KS}(\mathbf{r}), V_{ext}(\mathbf{r}) \rightarrow \varepsilon_{\mathbf{k},i}^{DFT}, \psi_{\mathbf{k},i}^{DFT}$ \times core : $V_{KS}(\mathbf{r}), V_{ext}(\mathbf{r}) \rightarrow \rho_{core}(\mathbf{r}), E_{core}$ x lapwso : adds spin-orbit × lapw2: $\varepsilon_{\mathbf{k},i}^{DFT}, \psi_{\mathbf{k},i}^{DFT} \rightarrow \rho^{val}(\mathbf{r}), E_{valence}$

DFT+DMFT COMBINED

$$\begin{aligned} \operatorname{run_lapw}: \rho^{DFT}(\mathbf{r}) \\ \operatorname{run_dmft.py} == & \times \operatorname{lapw0}: V_{ext}(\mathbf{r}) \ \rho(\mathbf{r}) \rightarrow V_{KS}(\mathbf{r}) \\ \times \operatorname{mixer}: \rho^{val} + \rho^{core}, \rho^{old}(\mathbf{r}) \rightarrow \rho^{new}(\mathbf{r}) \\ \times \operatorname{core}: V_{KS}(\mathbf{r}), V_{ext}(\mathbf{r}) \rightarrow \rho_{core}(\mathbf{r}), E_{core} \\ \times \operatorname{lapws0}: adds \ spin-orbit \\ \times \operatorname{core}: V_{KS}(\mathbf{r}), V_{ext}(\mathbf{r}) \rightarrow \rho_{core}(\mathbf{r}), E_{core} \\ \times \operatorname{lapws0}: adds \ spin-orbit \\ \times \operatorname{core}: V_{KS}(\mathbf{r}), V_{ext}(\mathbf{r}) \rightarrow \rho^{val}(\mathbf{r}), E_{valence} \\ \times \operatorname{lapws0}: adds \ spin-orbit \\ \times \operatorname{lapws0}: c_{k,i}^{DFT}, \psi_{k,i}^{DFT} \rightarrow \rho^{val}(\mathbf{r}), E_{valence} \\ \times \operatorname{lapws0}: c_{k,i}^{DMFT}(\omega), \varepsilon_{k,i}^{DFT}, \psi_{k,i}^{DFT} \rightarrow G^{DMFT}(\mathbf{r}), \Delta(\omega) \\ \operatorname{impurity \ solver}: \operatorname{ctopc,oca, nca} \\ \Delta(\omega), E_{imp} \rightarrow \Sigma(\omega), G^{DMFT} \end{aligned}$$

$$\begin{split} \mathbf{x}_{c} \text{dmft.py dmft}:\\ \mathbf{y}_{c}^{DMFT}(\omega), \mathbf{\varepsilon}_{\mathbf{k},i}^{DFT} \to G^{DMFT}(\mathbf{r}), \Delta(\omega) \end{split}$$

$$\begin{aligned} \mathbf{input} & \mathbf{output}\\ \mathbf{y}_{c}(\omega), \mathbf{\varepsilon}_{\mathbf{k},i}^{DFT}, \psi_{\mathbf{k},i}^{DFT}(\mathbf{r}) \longrightarrow G_{local}(\omega), \Delta(\omega), E_{imp}, n_{local} \end{aligned}$$

$$\begin{aligned} 1) \text{ Construct projector: } P(\mathbf{rr}', \mathbf{R}_{\mu}, mm') &= \langle \mathbf{r} | \phi_{m}^{\mu} \rangle \langle \phi_{m'}^{\mu} | \mathbf{r}' \rangle \\ \text{ where } \langle \mathbf{r} | \phi_{m}^{\mu} \rangle &= u_{l} (|\mathbf{r} - \mathbf{R}_{\mu}|) Y_{lm}(\mathbf{r} - \mathbf{R}_{\mu}) \end{aligned}$$

$$\begin{aligned} 2) \text{ Embed self-energy: } \bar{\Sigma}_{ij}(\mathbf{k}, \omega) &= \sum_{\mathbf{R}_{\mu}} \langle \psi_{\mathbf{k},i}^{DFT} | \phi_{m}^{\mu} \rangle (\Sigma_{mm'}^{\mu}(\omega) - V_{DC}^{\mu}) \langle \phi_{m'}^{\mu} | \psi_{\mathbf{k},j}^{DFT} \rangle \\ \end{aligned}$$

$$\begin{aligned} 3) \text{ Calculate local Green's function, hybridization, imp. levels:} \end{aligned}$$

$$\begin{aligned} g_{local\ mm'}^{\mu} &= \sum_{\mathbf{k},ij} \langle \phi_{m}^{\mu} | \psi_{\mathbf{k},i}^{DFT} \rangle (\omega + \mu - \varepsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, \omega))_{i}^{-1} \langle \psi_{\mathbf{k},j}^{DFT} | \phi_{m'}^{\mu} \rangle = \left(\frac{1}{\omega - E_{imp}^{\mu} - \Sigma^{\mu}(\omega) - \Delta^{\mu}(\omega)} \right)_{mm'} \end{aligned}$$

symmetrization over all group operations is performed

http://summer2016.ccs.usherbrooke.ca/dmft/ Initialize the DMFT calculation

\$> ssh -X stud[..]@summer2016.ccs.usherbrooke.edu \$> qsub -I -X

\$> module load edmftf

- \$> export OMP_NUM_THREADS=2
- \$> cd MnO

\$> init_dmft.py

To answer the questions, look at:

http://hauleweb.rutgers.edu/tutorials/ click: <u>Tutorial 1 on MnO</u>

start interactive session

load the module

don't use too many cores!

this sets up DMFT projector

Continue... initialize the DMFT calculation

\$> mkdir ../DMFT_MnO; cd ../DMFT_MnO fresh start in new dir \$> dmft_copy.py ../MnO copy necessary files here \$> x kgen -f MnO increase number of k-points 2000 \$> cp \$RESULT/MnO/params.dat . get params file \$> SZErO.py -e 38.22 -T 0.025853 create blank Sigma (T=1/beta=1/38.68) \$> cp \$RESULT/submit2.sh . obtain submission script \$> exit stop interactive session \$> cd DMFT MnO return to the current dir \$> qsub submit2.sh submit to the queue

Monitor the job



it might take too much time to converge ...

After some time you should kill your job, and continue with postprocessing

To kill your job, type

\$> qstat
\$> qdel <Job ID>

find your job ID

Postprocessing maxent

new directory \$> mkdir maxent; cd maxent \$> saverage.py \$RESULT/MnO/sig.inp.1?.1 average over a few MC steps \$> cp \$RESULT/MnO/maxent/maxent_params.dat . parameters for maxent \$> qsub -I -X interactive session \$> module load edmftf \$> cd DMFT_MnO/maxent go back to the new dir \$> maxent_run.py sig.inpx run maxent \$> plot -u1:3,1:5 Sig.out plot Sigma on real axis 519.out u 1:3 Sig.out u 1:5 -10



Postprocessing DOS

\$> mkdir ../onreal; cd ../onreal
\$> dmft_copy.py \$RESULT/MnO
\$> cp ../maxent/Sig.out sig.ip

new directory

copy converged DMFT outputs

replace Sigma(iom) with Sigma(om)

edit the second line of **MnO.indmfl** file and change the flag **matsubara** to 0 0 0.025 0.025 200 -3.000000 1.000000 # matsubara,..

\$> x lapw0 -f MnO
\$> x lapw1 -f MnO
\$> x_dmft.py dmft1
\$> plot -x-10:10 -uall MnO.cdos
\$> plot -x-10:10 -u1:3,1:5 MnO.gc1

you should get:

recompute KS potential recompute KS eigensystem compute DOS and G on real axis

plots partial DOS

plots local G(omega)



Post-processing band structure

\$> cp \$RESULT/MnO/onreal/MnO.klist_band . \$> x lapw1 -f MnO -band KS eigvals on k-path

edit the second line of **MnO.indmfl** file and change **omega_min**, omega_max to -6 6

 $0 \ 0.025 \ 0.025 \ 200 \ -6.000000 \ 6.000000$ # matsubara,..

\$> x_dmft.py dmftp \$> cp \$RESULT/MnO/EF.dat . \$> wakplot.py 0.02

compute dmft eigvals get final EF

get k-path

plot spectral function

you should get:





Repeat all above steps for FeSe reading the tutorial at:

http://hauleweb.rutgers.edu/tutorials/ <u>Click:</u> <u>Tutorial 2 on FeSe</u>

Thank you!