

# How to compute the gap with $\Delta$ in orbital space

## I. REFRESH ON NAMBU FORMALISM

Nambu-Gorkov spinor is

$$\Psi_{\mathbf{k},i}^\dagger = (c_{\mathbf{k}i\uparrow}^\dagger, c_{-\mathbf{k}i\downarrow}) \quad (1)$$

and the Nambu Green's function is

$$G_{\mathbf{k},ij}(\tau) = -\langle T_\tau \begin{pmatrix} c_{\mathbf{k}i\uparrow}(\tau) \\ c_{-\mathbf{k}i\downarrow}^\dagger(\tau) \end{pmatrix} \begin{pmatrix} c_{\mathbf{k}j\uparrow}^\dagger & c_{-\mathbf{k}j\downarrow} \end{pmatrix} \rangle = - \begin{pmatrix} \langle T_\tau c_{\mathbf{k}i\uparrow}(\tau) c_{\mathbf{k}j\uparrow}^\dagger \rangle & \langle T_\tau c_{\mathbf{k}i\uparrow}(\tau) c_{-\mathbf{k}j\downarrow} \rangle \\ \langle T_\tau c_{-\mathbf{k}i\downarrow}^\dagger(\tau) c_{\mathbf{k}j\uparrow}^\dagger \rangle & \langle T_\tau c_{-\mathbf{k}i\downarrow}^\dagger(\tau) c_{-\mathbf{k}j\downarrow} \rangle \end{pmatrix} \quad (2)$$

We define

$$\mathcal{G}_{\mathbf{k},ij}(\tau) = -\langle T_\tau c_{\mathbf{k}i\uparrow}(\tau) c_{\mathbf{k}j\uparrow}^\dagger \rangle \quad (3)$$

$$\mathcal{F}_{\mathbf{k},ij}(\tau) = -\langle T_\tau c_{\mathbf{k}i\uparrow}(\tau) c_{-\mathbf{k}j\downarrow} \rangle \quad (4)$$

and see that

$$\begin{aligned} G_{22} &= -\langle T_\tau c_{-\mathbf{k}i\downarrow}^\dagger(\tau) c_{-\mathbf{k}j\downarrow} \rangle = \langle T_\tau c_{-\mathbf{k}j\downarrow}(-\tau) c_{-\mathbf{k}i\downarrow}^\dagger \rangle = \\ &= -\mathcal{G}_{-\mathbf{k},ji}(-\tau) \\ G_{12} &= -\langle T_\tau c_{-\mathbf{k}i\downarrow}^\dagger(\tau) c_{\mathbf{k}j\uparrow}^\dagger \rangle = \mathcal{F}_{\mathbf{k},ji}^*(\tau) \end{aligned} \quad (5)$$

To prove the last identity, we check  $\tau > 0$  case, and write

$$\begin{aligned} \mathcal{F}_{\mathbf{k},ij}^*(\tau) &= -\frac{1}{Z} \text{Tr} \left( e^{-\beta H^*} e^{\tau H^*} c_{\mathbf{k}i\uparrow}^* e^{-\tau H^*} c_{-\mathbf{k}j\downarrow}^* \right) = \\ &= -\frac{1}{Z} \text{Tr} \left( (e^{-\beta H + \tau H})^T (c_{\mathbf{k}i\uparrow}^\dagger)^T (e^{-\tau H})^T (c_{-\mathbf{k}j\downarrow}^\dagger)^T \right) = \\ &= -\frac{1}{Z} \text{Tr} \left( (c_{-\mathbf{k}j\downarrow}^\dagger e^{-\tau H} c_{\mathbf{k}i\uparrow}^\dagger e^{-\beta H + \tau H})^T \right) = \\ &= -\frac{1}{Z} \text{Tr} \left( e^{-\beta H + \tau H} c_{-\mathbf{k}j\downarrow}^\dagger e^{-\tau H} c_{\mathbf{k}i\uparrow}^\dagger \right) = \\ &= -\langle T_\tau c_{-\mathbf{k}j\downarrow}^\dagger(\tau) c_{\mathbf{k}i\uparrow}^\dagger \rangle \end{aligned} \quad (6)$$

Hence, Bogolubov Green's function is

$$G_{\mathbf{k},ij}(\tau) = \begin{pmatrix} \mathcal{G}_{\mathbf{k},ij}(\tau) & \mathcal{F}_{\mathbf{k},ij}(\tau) \\ \mathcal{F}_{\mathbf{k},ji}^*(\tau) & -\mathcal{G}_{-\mathbf{k},ji}(-\tau) \end{pmatrix} \quad (7)$$

or in matrix notation

$$G_{\mathbf{k}}(\tau) = \begin{pmatrix} \mathcal{G}_{\mathbf{k}}(\tau) & \mathcal{F}_{\mathbf{k}}(\tau) \\ \mathcal{F}_{\mathbf{k}}^\dagger(\tau) & -\mathcal{G}_{-\mathbf{k}}^T(-\tau) \end{pmatrix} \quad (8)$$

and in frequency

$$G_{\mathbf{k}}(i\omega) = \begin{pmatrix} \mathcal{G}_{\mathbf{k}}(i\omega) & \mathcal{F}_{\mathbf{k}}(i\omega) \\ \mathcal{F}_{\mathbf{k}}^\dagger(-i\omega) & -\mathcal{G}_{-\mathbf{k}}^T(-i\omega) \end{pmatrix} \quad (9)$$

## II. AB-INITIO DMFT AND SC GAP

We first write LDA+DMFT solution in eigenbasis, which is frequency dependent

$$\mathcal{G}_{\mathbf{k}}(i\omega, \mathbf{r}\mathbf{r}') = \psi_{\mathbf{k}l}^R(i\omega, \mathbf{r}) \frac{1}{i\omega + \mu - \varepsilon_{\mathbf{k}l,i\omega}} \psi_{\mathbf{k}l}^L(i\omega, \mathbf{r}') \quad (10)$$

or

$$\int d\mathbf{r} d\mathbf{r}' \psi_{\mathbf{k}l}^L(i\omega, \mathbf{r}) \mathcal{G}_{\mathbf{k}}^{-1}(i\omega, \mathbf{r}\mathbf{r}') \psi_{\mathbf{k}l}^R(i\omega, \mathbf{r}') = i\omega + \mu - \varepsilon_{\mathbf{k}l,i\omega}$$

The DMFT projector  $U_{\mathbf{r}\alpha}$ , which is used to embed the self-energy, can embed gap and give its real space representation as

$$\Delta^{\mathbf{k}}(\mathbf{r}\mathbf{r}') = U_{\mathbf{r}\alpha} \Delta_{\alpha\beta}^{\mathbf{k}} U_{\beta\mathbf{r}'}^\dagger \quad (11)$$

being non-local in band index or real space. We can transform it to DMFT eigenbasis by

$$\bar{\Delta}_{ll'}^{\mathbf{k}}(i\omega) = \int d\mathbf{r} d\mathbf{r}' \psi_{\mathbf{k}l}^L(i\omega, \mathbf{r}) U_{\mathbf{r}\alpha} \Delta_{\alpha\beta}^{\mathbf{k}} U_{\beta\mathbf{r}'}^\dagger \psi_{\mathbf{k}l'}^R(i\omega, \mathbf{r}') \quad (12)$$

These projectors are computed by "dmftgk" in "e" mode, and they are printed to file "UL.dat" as  $UAl = \psi_{\mathbf{k}l}^L(i\omega, \mathbf{r}) U_{\mathbf{r}\alpha}$  and "UR.dat" as  $UAr = U_{\beta\mathbf{r}'}^\dagger \psi_{\mathbf{k}l}^R(i\omega, \mathbf{r}')$ .

Finally, we need to solve for Bogolubov quasiparticles by diagonalizing the following Hamiltonian

$$H_{BG} = \begin{pmatrix} \varepsilon_{\mathbf{k},i\omega} - \mu & \bar{\Delta}^{\mathbf{k}}(i\omega) \\ \bar{\Delta}_{\mathbf{k}}^\dagger(-i\omega) & -\varepsilon_{-\mathbf{k},-i\omega}^T + \mu \end{pmatrix} \quad (13)$$

It turns out that  $\varepsilon_{\mathbf{k},i\omega}$  and  $\bar{\Delta}(i\omega)$  do not have a branch-cut at  $i\omega = 0$  hence we can safely take the zero frequency limit. We will also assume that the lattice has inversion symmetry, hence  $\varepsilon_{-\mathbf{k}} = \varepsilon_{\mathbf{k}}$ . We hence diagonalize

$$H_{BG} = \begin{pmatrix} \varepsilon_{\mathbf{k},0} - \mu & \bar{\Delta}_{\mathbf{k}} \\ \bar{\Delta}_{\mathbf{k}}^\dagger & -\varepsilon_{\mathbf{k},0}^T + \mu \end{pmatrix} \quad (14)$$

The difference between the smallest positive eigenvalue  $\lambda^-$  and the largest negative eigenvalue  $\lambda^+$  is equal twice the gap  $2\Delta = \lambda^+ - \lambda^-$ .